FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE TO THE PROPERTY OF TTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 295482US0PCT DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED March 1, 2004 PCT/JP05/02887 February 23, 2005 TITLE OF INVENTION PROCESS FOR PRODUCING POLYMER WITH FUNCTIONAL END APPLICANT(S) FOR DO/EO/US Kotaro SATOH, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). If the declaration is in a language other than the English language, it is accompanied by an English translation. The translation is accurate (37 CFR 1.69) An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). A copy of the International Preliminary Examination Report (PCT/IPEA/409). A copy of the International Search Report (PCT/ISA/210). Items 13 to 23 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. b. Cited References (6) c. Statement of Relevancy d. List of Related Cases. PTO-1449 14. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 18. A substitute specification. A power of attorney and/or change of address letter. 20. I I A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 21. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 23. Other items or information: Notice of Priority/PCT/IB/304

IAP5 Rec'd PCT/PTO 30 AUG 2006

U.S. APPLICATION 10 15 913 15 18 10 INTERNATIONAL APPLICATION NO. PCT/JP05/02887				ATTORNEY'S DOCKET NUMBER 295482US0PCT					
The following fees		<u> </u>		CALCULATIONS	PTO USE ONLY				
24. Basic nation	nal fee		\$300	#200 00					
25. Examination				\$300.00					
If the written opinion p	repared by ISA/US or the JS indicates all claims sa	e international preliminal tisfy provisions of PCT	ry examination report Article 33(1)-(4) \$0		ļ				
All other situations			\$200	\$200.00					
prepared by IPEA/U Search fee (37 CFR 1, as an International Search R	f the ISA/US or the Interr IS indicates all claims sa 445(a)(2)) has been paic Searching Authority eport prepared by an ISA unicated to the US by the								
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TOTAL OF	24, 25 AND 26 =	·	· · · · · · · · · · · · · · · · · · ·	\$400.00					
	r specification and drawing	ngs filed in paper over 1	00 sheets (excluding	\$900.00					
sequence listing	or computer program list ditional 50 sheets of par	ting filed in an electronic							
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	ter the date of commence			\$					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE						
Total claims	9 - 20 =	0	x \$50.00	\$0.00					
Independent claims	5 - 3=	2	x \$200.00	\$400.00					
MULTIPLE DEPENDE	NT CLAIMS (if applicable		+ \$360.00	\$					
		TAL OF ABOVE C		\$1,300.00					
Applicant claims	small entity status. See		-						
		\$1,300.00							
	of \$130.00 for furnishing to claimed priority date (37	\$							
		\$1,300.00							
	the enclosed assignment an appropriate cover she	\$							
Petition fee of \$1	,500.00 for Petition to Re	evive (37 CFR 1.137 (b)). +	\$					
		TOTAL FEE	S ENCLOSED =	\$1,300.00					
				Amount to be refunded:	\$				
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<u> </u>				charged:	\$				
a. A check in the	amount of \$ to co	ver the above fees is er	iclosed.						
b. Please charge	my Deposit Account No	. <u>15-0030</u> in the amoun	t of \$ to cover the	above fees.					
c. The Director is No. <u>15-0030</u> .	s hereby authorized to ch A duplicate copy of this s	arge any additional fees sheet is endosed.	s which may be required	l, or credit any overpaymer	nt to Deposit Account				
	e charged to a credit card on this form. Provide cre			ome public. Credit card i n 2038.	formation should not				
NOTE: Where an app	propriate time limit und estore the International	er 37 CFR 1.495 has n	ot been met, a petition	to revive (37 CFR 1.137(a) or (b)) must be				
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22850		lon Surinder Sachar Registration No. 34,423							
Tel. (703) 413-3000 Fax. (703) 413-222			· · · · · ·						
(OSMMN 1/06)			24,618 REGISTRATION NU	NUMBER					

Page 2 of 2

Docket No.

295482US0PCT

IN RE APPLICATION OF: Kotaro SATOH, et al.

IAP5 Rec'd PCT/PTO 30 AUG 2006

FILED:

SERIAL NO: New U.S. PCT Application Based on PCT/JP05/02887

FOR:

PROCESS FOR PRODUCING POLYMER WITH FUNCTIONAL END

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Herewith

SIR

Transmitted herewith is an amendment in the above-identified application.

- No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- Additional documents filed herewith:

PCT Transmittal Letter/Application Data Sheet/Notice of Priority/Declaration English Translation of Specification/Preliminary Amendment/PCT/IB/304 Information Disclosure Statement/PTO-1449/International Search Report References Cited (6)/Credit Card Payment Form for \$1300.00

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS		RATE		CALCULATIONS
TOTAL	9	MINUS	20	0	х	\$50	=	\$0.00
INDEPENDENT	5	MINUS	5	0	х	\$200	=	\$0.00
		☐ MULTIPLE DEPENDENT CLAIMS + \$360				=	\$0.00	
		TOTAL OF ABOVE CALCULATIONS						\$0.00
☐ Reduction by 50% for filing by Small Entity							\$0.00	
						TOT	AL	\$0.00

- ☐ Credit card payment form is attached to cover the fees in the amount of \$0.00
- Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Surinder Sachar

Registration No. 34,423

DOCKET NO.: 295482US0PCT

IAP5 Rec'd PCT/PTO 30 AUG 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kotaro SATOH, et al. SERIAL NO.: NEW U.S. PCT APPLICATION

FILED: HEREWITH

INTERNATIONAL APPLICATION NO.: PCT/JP05/02887 INTERNATIONAL FILING DATE: February 23, 2005

FOR: PROCESS FOR PRODUCING POLYMER WITH FUNCTIONAL END

REQUEST FOR PRIORITY UNDER 35 U.S.C. 119 AND THE INTERNATIONAL CONVENTION

Commissioner for Patents Alexandria, Virginia 22313

Sir:

In the matter of the above-identified application for patent, notice is hereby given that the applicant claims as priority:

COUNTRY Japan APPLICATION NO

DAY/MONTH/YEAR

2004-056341 01 March 2004

Certified copies of the corresponding Convention application(s) were submitted to the International Bureau in PCT Application No. PCT/JP05/02887. Receipt of the certified copy(s) by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/IB/304.

Respectfully submitted, OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Customer Number 22850

(703) 413-3000 Fax No. (703) 413-2220 (OSMMN 08/03) Norman F. Oblon Attorney of Record Registration No. 24,618 Surinder Sachar

Registration No. 34,423